



27 January 2017

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**TO : ALL MEMBERS AND REGISTERED PERSONS**

**ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVE**

Dear Sir / Madam,

### **2017 FIMM SEMINARS: FIRST SERIES**

#### **General**

We are pleased to announce the first series of 2017 FIMM Seminars (2017 FIMMSEM). The objective of the 2017 FIMMSEM is to provide Continuing Professional Development (CPD) opportunity in the form of seminars to the Unit Trust Consultants and Private Retirement Scheme Consultants (collectively referred to as "Consultants") that aims to enhance skills and knowledge of Consultants in marketing and distribution of unit trusts and/or private retirement schemes.

The first series of 2017 FIMMSEM includes 8 seminars that will be held in Kuala Lumpur, Kuantan, Melaka, Kuching, Kota Kinabalu, Johor Bahru and Penang. (please refer to schedule). The topics as well as speakers for the seminars are selected based on assessments and selection conducted as well as feedback received from previous events.

Details of the 2017 FIMMSEM that include seminar schedule and speaker profile are enclosed as Appendix 1. We would like to take this opportunity to invite all Members and Registered Persons to enrol your Consultants for these seminars.

#### **Awarding of CPD/ CPE Points**

All participants who have attended any of the seminars and fulfilled the attendance requirements will be awarded eight (8) CPD points by FIMM.

You may note that 6 of the seminars are also accredited by Securities Industry Development Corporation (SIDC) for CPE purposes. Hence, holders of Capital Markets Services Representative's Licence (CMSRL) and Employees of Registered Persons (ERP) who attended the seminar will be accorded 10 CPE points, in addition to FIMM 8 CPD points.

Details on the attendance requirements as well as other seminar policies and procedures can be found in the attached Appendix 2.

### Seminar Fee

Kindly be informed that the seminar fee is RM84.80 per participant for Consultants or RM265.00 per participant for public. Fees quoted are inclusive of 6% GST. The seminar package includes two tea breaks, one lunch and certificate of attendance.

For every 5 participants registered for the seminar, the sixth participant from the same Member/Registered Person to the same seminar will be **free of charge**.

### Registration for Seminars

Consultants who are interested to attend any of the 2017 FIMMSEM must submit their registration via Members and Registered Persons. No direct registration from Consultants will be accepted.

Members and Registered Persons may register your Consultants for the seminar(s) by completing the Registration Form (Appendix 3) and return it to FIMM. FIMM will deal with interested individuals from public for registration.

Kindly submit the registration form before the closing date of each seminar. Registration is based on **first-come, first-served basis**. Given that the seats are limited for each seminar, you are strongly encouraged to submit registration soonest to avoid disappointment.

### Distribution of Seminars and Registration Details to Consultants

Kindly be reminded that all Members and Registered Persons must ensure that all Consultants attached to your company are aware of the 2017 FIMMSEM as well as other information that are pertinent to timely and successful registration for the seminars.

The schedule for the first series of 2017 FIMMSEM as well as other relevant information are available on FIMM Website. Subsequent series of 2017 FIMMSEM will be announced to the industry in due course.

We look forward to your continued support and participation in our seminars.

Thank you.

Yours faithfully

**FEDERATION OF INVESTMENT MANAGERS MALAYSIA**



**FARIQ HASSAN**

Interim Chief Executive Officer