



4 October 2016

**CIRCULAR** : PDS/FH/KOH/SNZ-ay/037-16  
**TO** : MEMBERS & REGISTERED PERSONS  
**ATTN** : CHIEF EXECUTIVE OFFICER

Dear Sir/Madam

**2016 FIMM ANNUAL CONVENTION - 20 OCTOBER 2016, SIME DARBY CONVENTION CENTRE**

We are pleased to inform you that **2016 FIMM Annual Convention** will be held as follows:

a) Theme

**No Ordinary Disruption: Resetting our Collective Intuition to Embrace Challenges and Opportunities**

The investment management sector has been experiencing years of significant growth. Today, the sector is a vibrant and well-developed component of the Malaysian economic sector, offering investors a wide array of investment alternatives to meet their investment needs, such as saving for education and retirement. It is therefore a critical time to reset our collective intuition as these fundamental forces are potentially reshaping the industry and creating both opportunities, as well as challenges for the industry.

b) Details of the event:

**Date** : Thursday, 20 October 2016  
**Time** : 9.00 am – 5.15 pm  
(Registration starts at 7.30 am and closes at 9.30 am)  
**Venue** : Ballroom 1 & 2, Level 1  
Sime Darby Convention Centre  
No. 1A, Jalan Bukit Kiara 1  
60000, Kuala Lumpur  
**Parking** : Free  
**Dress Code** : Business Attire

c) Programme

This is a full-day convention specially organised for FIMM members, Distributors and Consultants currently registered with FIMM. The convention features discussions/presentations on various significant issues and industry-related topics by prominent subject matter experts.

**FEDERATION OF INVESTMENT MANAGERS MALAYSIA** (272577-P)  
(formerly known as Federation of Malaysian Unit Trust Managers)

19-06-1, 6th Floor, Wisma Tunq, No. 19, Lorong Dungun, Damansara Heights, 50490 Kuala Lumpur, Malaysia.

• T 603 2093 2600 • F 603 2093 2700 • www.fimm.com.my

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For further details, please refer to the attached program (**Appendix 1**).

d) FIMM Continuing Professional Development (CPD) Points

Participants with full attendance to the 2016 FIMM Annual Convention will be granted 8 FIMM CPD points.

e) Registration Fee and Other Terms and Conditions

Each consultant will be charged **RM137.80** (inclusive of GST) for registration. Registration must be accompanied with payment to secure your seats.

Participation is based on a first-come-first served basis, as limited seats are available. Registration is only through respective companies. **No walk-in registration is allowed.**

Companies that are interested to register their Consultants for the 2016 FIMM Annual Convention, kindly complete the Registration Form for Registered Consultants (**Appendix 2**) and return to us by **14 October 2016 (Friday)**.

There will be no refund. Companies must inform FIMM in writing if there is any replacement for delegates. **Deadline for replacement is no later than 17 October 2016 (Monday).**

f) Special Invitation to Chief Executive Officers

All CEOs are invited to attend the convention and the networking luncheon, compliment of FIMM.

In this regard, kindly confirm your attendance by completing the enclosed Reply Slip-CEOs (**Appendix 3**). Please return the completed slip to FIMM by **17 October 2016 (Monday)**.

For further information, please contact En. Ahmad Yusoff/En. Mohd Hazwan at 03-2093 2600 or email [fimmconvention2016@fimm.com.my](mailto:fimmconvention2016@fimm.com.my).

Thank you and see you at the convention.

Yours faithfully,

**FEDERATION OF INVESTMENT MANAGERS MALAYSIA**



**FARID HASSAN**

Interim Chief Executive Officer

**Program**  
**2016 FIMM ANNUAL CONVENTION (FIMMAC 2016)**  
**No Ordinary Disruption: Resetting our Collective Intuition to Embrace**  
**Challenges and Opportunities**  
**20 October 2016**  
**Sime Darby Convention Centre**

7.30am – 9:00am	<b>REGISTRATION AND WELCOME COFFEE</b>	
9.00am – 9:15am	<b>OPENING ADDRESS</b>	<b>Datuk Siti Hadzar Binti Mohd Ismail</b> Chairman, FIMM
9.15am - 9.45am (30 mins)	<b>KEYNOTE ADDRESS</b>	<b>Ms Foo Lee Mei</b> Executive Director and General Counsel, Securities Commission Malaysia
9.45am - 10.30am (45 mins)	<p><b>SESSION 1 : NO ORDINARY DISRUPTION: KEY GLOBAL TRENDS AND THEIR IMPLICATIONS TO INVESTMENT MARKET PLACE</b></p> <p>Investment management industry has been experiencing years of significant growth. However, there have been signs of a turning tide driven by capital market volatility and disruptive forces took place locally as well as globally. These fundamental forces will potentially reshape the investment market place and create both opportunities, as well as challenges to the industry.</p> <p>In this session, we will share how investment management industry, especially those in Asia Pacific, tackle the challenge brought by and take advantage of these disruptive forces.</p> <p><u>Speaker:</u> Ms. Anu Sahai, Senior Advisor and Co-Leads for Asia Asset Management &amp; Wealth Management Practice, McKinsey &amp; Company</p>	
10.30am - 11.00am	<b>COFFEE BREAK</b>	
11.00am – 12:00noon (1 hr)	<p><b>SESSION 2 (PANEL DISCUSSION): ACCELERATING THE FUTURE OF INVESTMENT PLANNING</b></p> <p>It is estimated that the financial sector would need an additional workforce of 56,000 by 2020 to fulfil the talent demands in critical areas such as risk management, wealth management, investment planning and advisory services.</p> <p>Market constantly change and present different challenges and hence it is expected that Consultants should be ready with effective solutions to help keep investors toward their long-term financial goals, especially in the area of enhance income, hedge inflation, manage risk and allocate assets. The</p>	

	<p>session will discuss how Consultants could take advantage of shifts brought by accelerating pace of change in the sector in the next 3 to 5 years.</p> <p>Key topics:</p> <ul style="list-style-type: none"> <li>• Opportunity and challenges in positioning Consultants' value of advice amid growth of social media and next generation of investors who will be technologically savvy, more collaborative, less trusting of tradition, and more socially conscious;</li> <li>• Best practices of offering solutions and services that are genuinely suited to the clients vs product pushing; and</li> <li>• Ethics and integrity</li> </ul> <p><u>Moderator:</u> Ms Linnet Lee, CEO, Financial Planning Association of Malaysia (FPAM)</p> <p><u>Panellists:</u></p> <p>a) Mr Danny Wong Teck Meng, CEO, Areca Capital Sdn Bhd; b) Mr KL Tang, Director of FA Advisory Sdn Bhd and Financial Alliance Group, Singapore; and c) Ms Chan Ai Mei, Chief Marketing Officer, Affin Hwang Asset Management Bhd.</p>
12.00noon - 1.15pm	<b>LUNCH</b>
1.15pm - 2.15pm (1 hr)	<p><b>SESSION 3 (PANEL DISCUSSION): INVEST RESPONSIBLY VIA SHARIAH FUNDS</b></p> <p>Socially Responsible Investing has come a long way from the days when it mostly meant not buying the shares of companies in controversial industries. Now, investors who favour this approach routinely consider a broad range of corporate behavior under the umbrella of ESG factors - environmental, social and governance.</p> <p>Shariah funds offer investors a vehicle to invest responsibly, given the unique features and proposition of Shariah funds that, among others, shun corporations that involve in activities that harm the human kind, over leverage and at the meantime, embraces profit sharing measures that mitigate risk and hence are fair for unit holders of the funds.</p> <p>Key topics:</p> <ul style="list-style-type: none"> <li>• Readiness of Shariah financial market and future prospect for global Shariah financial market;</li> <li>• Evidence that socially responsibility pays for investors;</li> <li>• How Shariah compliance strategy can fit into socially responsible investments that can attract bigger markets/potential investors; and</li> <li>• Benefits and challenges of positioning Shariah-compliant funds as SRI funds.</li> </ul>

	<p><u>Moderator:</u> En. Najmuddin Mohd Lutfi, CEO, BIMB Investment Management Bhd</p> <p><u>Panellists:</u></p> <ul style="list-style-type: none"> <li>a) Mr. Eugene Lim, PhD, Vice President in the APAC Applied Research Team (Index, ESG and Analytics Applied Research) at MSCI;</li> <li>b) Mr. Gerald Michael Ambrose, CEO, Aberdeen Islamic Asset Management Sdn Bhd;</li> <li>c) Mr. Monem Abdul Salam, CEO, Saturna Sdn Bhd; and</li> <li>d) En. Ja'afar Rihan, Head of Shariah Savings Department, EPF.</li> </ul>
<p>2.15pm - 3.00pm (45 mins)</p>	<p><b>SESSION 4: GOLD MINES OF DIGITIZATION</b></p> <p>Digitisation is a growing phenomenon that has brought changes in the way business is conducted across all economic sectors, including investment management. This session will discuss the opportunities versus potential disruptions offered by digitization to Malaysian capital market and investment management sector.</p> <p>Key topics:</p> <ul style="list-style-type: none"> <li>• Overseas experiences <ul style="list-style-type: none"> <li>a) How technology spurs and adds value to the investment management sector across jurisdictions</li> <li>b) Disruptions/ threats that digitation brings to the sector</li> </ul> </li> <li>• Opportunities and threats of Digitisation to Malaysian investment industry moving forward</li> </ul> <p><u>Speaker:</u> Mr. Chin Wei Min, Executive Director, Innovation &amp; Digital Strategy, Securities Commission Malaysia.</p>
<p>3.00pm – 3.30pm</p>	<p><b>COFFEE BREAK</b></p>
<p>3.30pm - 4.15pm (45 mins)</p>	<p><b>SESSION 5: PRIVATE RETIREMENT SCHEME (PRS) FOR SMART RETIREES</b></p> <p>Inadequate savings through EPF for the purpose of continuing to enjoy the lifestyle and standard of living they have become accustomed to as well as longevity risks of outliving their retirement funds are some of the key challenges faced by most Malaysians.</p> <p>Amid the challenges and risks, this session will discuss how does PRS supports the vision to ensure that retirees plan their finances prudently to achieve a comfortable lifestyle, why should Malaysians start retirement planning early as well as other approach and strategies for retirement savings.</p> <p><u>Speaker:</u> Pn Munirah Khairuddin, CEO, CIMB-Principal Asset Management Bhd</p>

<p>4.15pm – 5.15pm (1 hr)</p>	<p><b>SESSION 6: MOTIVATIONAL TALK: MAKING CHANGE HAPPEN: A REAL LIFE EXPERIENCE</b></p> <p>Change is, at its core, a people process, and people are creatures of habit, hardwired to resist adopting new mind-sets, practices, and behaviors. To achieve and sustain transformational change, one must embed these mind-sets, practices, and behaviors at every level, and that is very hard to do but it has never been more important.</p> <p>Learn the ability to drive change by understanding the importance of stakeholders, multiple viewpoints, communication, and buy-in. Develop ability to drive change by understanding the importance of involving stakeholders and dealing with resistance. Improve understanding and ability to leverage insights and enhance skills in leading and motivating others through organizational change initiatives.</p> <p><u>Proposed Speaker:</u> Dato' Sri Haji Syed Zainal Abidin, Executive Director, Bioalpha Holdings Berhad (yet to be confirmed)</p>
<p>5.15pm</p>	<p>Closing remarks and end of Convention</p>

**Note:** The above program is subject to change without prior notice.



**2016 FIMM ANNUAL CONVENTION REGISTRATION FORM**

Please fill in the details accurately. Incomplete form will not be processed. Thank you.

**Company Details**

<b>Company Name</b>			
<b>Contact Person</b>			
<b>Designation</b>			
<b>Email Address</b>			
<b>Contact No.</b>	<b>ext</b>	<b>Fax No.</b>	

**Participants Details**

No.	Full Name (as per NRIC)	NRIC No.	FIMM No.
1			
2			
3			
4			
5			

**Fee** : RM137.80  
Fees quoted is inclusive of 6% GST.

**Payment Mode** :  Cheque  Bank Draft  
(Please tick the box accordingly)

Registered Members shall make payment by company cheque or bank draft via Principals.

All payments must be received by FIMM within fourteen (14) days from date of tax invoice, unless otherwise agreed to the contrary.

Enclosed is cheque / bank draft no. .... for the sum of RM..... made payable to **Federation of Investment Managers Malaysia**.

**Registration & Enquiries** : En Ahmad Yusoff and En. Mohd Hazwan  
**Professional Development & Services (PDS)  
Department**  
**Email:** [fimmconvention2016@fimm.com.my](mailto:fimmconvention2016@fimm.com.my)  
**Tel.:** 03-2093 2600 | **Fax:** 03-2093 2700

**Cancellation** : There is no refund for cancellation or non-attendance  
but replacement from the same company is allowed.

**Registration is based on first-come, first-served  
basis.**

#### Disclaimer

FIMM reserves the right to cancel or reschedule any programs to another / other date(s) without prior notice and will not liable for any claims, action costs, charges, expenses, damages, losses and liabilities due to such cancellation / rescheduling of the programs.

#### Notice

Federation of Investment Managers Malaysia (FIMM) respects the privacy of individuals. Pursuant to the Personal Data Protection Act 2010, you are advised to read our personal data notice available at [www.fimm.com.my](http://www.fimm.com.my) before submitting this form. By providing us your personal data, you hereby consent to the processing of your personal data in accordance with and for the purposes as set out our personal data notice.

Confirmation of Registration (For FIMM's use)	
To:	Attn:
<input type="checkbox"/> We hereby confirm the registration of the above participants for the convention.	
From: Professional Development & Services Department, Federation of Investment Managers Malaysia	Date:

Reply Slip - CEOs

**2016 FIMM Annual Convention:**

**“No Ordinary Disruption: Resetting our Collective Intuition to Embrace Challenges and Opportunities”**

**20 October 2016**

**Ballroom 1 & 2, Level 1, Sime Darby Convention Centre, Jalan Bukit Kiara**

Attn: Ahmad Yusoff Bin Hamzah  
Professional Development & Services Department  
Federation of Investment Managers Malaysia  
Tel: 03-2093 2600 Fax: 03-2093 2700

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PLEASE COMPLETE AND FAX THIS REPLY SLIP TO FIMM BY  
**Monday, 17 October 2016**

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(Please let us have your reply even if you are not able to attend the convention)

- Yes! I am able to attend the Convention
- Yes! I am able to attend the VIP Luncheon
- No! I am unable to attend the VIP Luncheon

	Name	Designation
1.		

- No, I am unable to participate in the Convention. Thank you for your invitation.

From :

Name of company :	
Contact Person :	Designation :
Tel :	Fax :
Email :	
Date :	Signature :